#### STATE OF KUWAIT

DIRECTORATE GENERAL OF CIVIL AVIATION AVIATION SAFETY



دولة الكويت الإدارة العامة للطيران المدني سلامة الطيران

2025/5/18

2025/17/SUPDT-R/41

#### All KCASR Stockholders and Users

Subject.: Notice of Proposed Amendment's (NPA) No. 2025-09 to Kuwait Civil Aviation Safety Regulations KCASR 26 - ENFORCEMENT AND SANCTIONS Rev 6.

Dear Sir.

#### Purpose:

The purpose of this NPA is to announce to the KCASR users the intention of the Directorate General of Civil Aviation to amend <u>KCASR 26 - ENFORCEMENT AND SANCTIONS</u> (issue 4).

#### **Action Required:**

All users of KCASR are required to refer to DGCA/ASD website (<a href="https://kcasr.dgca.gov.kw">https://kcasr.dgca.gov.kw</a>) for reviewing the NPA and mail or email (safety@dgca.gov.kw) their comments to DGCA by 19/Jun/2025 using the attached NPA Response Sheet Forms No. 1500 or using NPA comments & feedback form on the website. If we do not receive your response by this date, it will be assumed that you do not have any comments on the proposal.

If required, the DGCA/Aviation Safety Department personnel are available to answer your questions on the interpretation and intended implementation of the proposed amendments.

This is for your information and distribution to the concerned parties.

Yours Sincerely,

President of Civil Aviation

## Abdullah F. Alrajhi

Acting / Deputy Director General for Aviation Safety. Air Transport & Aviation Security

CC:

Director General of Civil Aviation.
Dy. Dir. Gen. Kuwait. Intel. Airport Affairs.
Dy. Dir. Gen. for Air Navigation Services Affairs.
Safety Management Coordination Center (SMCC).
Head of Technical Office.
Civil Aviation Security Department.
Aviation Safety Director.
Air Transport Director.
Inspection & oversight Superintendent.
Head of Standards & Aviation Safety Regulations Division.





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Rev. 11

14.5

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## Notes on the presentation of the Amendment **Notice Of Proposed Amendment** (NPA)

The text of the amendment is arranged to show deleted text in Red Color and with a line through it, new text to be inserted is in Blue color as shown below:

Text to be deleted is in Red and shown with a line through it.

Text to be deleted

New text to be inserted is in Blue Color.

New text to be inserted

Text to be deleted is in Red and shown with a line New text to replace through it, followed by the replacement text which is in existing text Blue Color.

... Indicates that remaining text is unchanged in front Text is unchanged or following the reflected amendment.

## **Notice Of Safety Regulation Amendment** (NPA, NSRA and Revisions)

Side bar indicates that text is changed or added.







## NPA RESPONSE FORM NPA



Please add your comments on the proposal by ticking [✓] the appropriate box below.  Any additional constructive comments, suggested amendments or alternative action will be welcome and may be provided on this response sheet or by separate correspondence.		
No comments on the proposal.		
Comments on the proposal. (Please provide	e explanatory comment).	
Name:	Organization:	
Address/Contact No:		
E-Mail: Signature:	Date:	
Signature.	Date	



#### KCASR 26 – Enforcement and Sanctions



## **Kuwait Civil Aviation Safety Regulations**

## KCASR 26 – ENFORCEMENT AND SANCTIONS

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#### KCASR 26 – Enforcement and Sanctions

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#### KCASR 26 – Enforcement and Sanctions

## **Amendment Record**

Amendment No	Date of Issue	Remarks
1	March 2018	NSRA 2018-103 Implemented and Part Rename
2	September 2018	Reg. Circular 2018-27 (DGCA Requirements) NPA 2018-34
3	April 2019	NPA 2019-01 - Addition of "Violation" and "Error" definitions
4	July 2019	Based on NPA 2019-15 GHO and FOO/FD Sanction Tables
5	Feb 2022	Addition of table 13 and 17 and revision of other tables
6	Nov 2024	Addition of Table 4 (Violations Committed by a Holder of an Approved Training Organisation Certificate)
7	Aug 2025	Amendment to point 26.8, adding table 18, renumbering of some tables and several other amendments to enforcement tables

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#### KCASR 26 – Enforcement and Sanctions

- (iii) Denial / obstruction of access to premises, facilities, records, aircraft and aircraft equipment to the Kuwait DGCA (ASD/CASeD) authorized personnel;
- (d) Voluntary reporting of violations is a factor to be considered in assessing an appropriate sanction.
- (e) Except as otherwise specifically noted in this regulation or other written Kuwait DGCA policy, computation of proposed penalties is not done according to a strict mathematical formula, instead it involves judgment of where the case lies along a spectrum of seriousness. Many factors are considered, and the violation history of the respondent must be reviewed and compared with the current situation.
- (f) Certificate/License's holders must be held to the highest standard of safety and security performing their services with the highest possible degree of safety and security.
- (g) Certificate holders are expected to have the financial wherewithal to operate according to the established safety standards. Financial circumstances should not be accepted as an excuse or as mitigation for violating any Kuwait DGCA Regulations.
- (h) A so-called paper violations are very serious, since many of the surveillance systems on which both Kuwait DGCA (ASD/CASeD) and a certificate holder rely on order for monitoring compliance with safety standards, are based primarily upon complete and accurate record-keeping and reporting.
  - (1) Record-keeping requirements serve to ensure that actions required by the regulations are actually done.
  - (2) Noncompliance with record-keeping requirements also strikes at the very heart of Kuwait DGCA system of monitoring and ensuring compliance with safety standards. Without a record indicating compliance, Kuwait DGCA (ASD/CASeD) and the certificate holder have no means to be assured that compliance has in fact been achieved.

#### 26.8 Actions towards Violators: General.

Actions toward violators may range from administrative measures to enforcement measures, applied through a graduated approach that ensures each regulatory response is proportionate to the nature and severity of the identified non-compliance. Action towards violators varies from administrative action to enforcement action.

#### **26.9** Administrative Action.

If it is determined that a violation or an alleged violation of Kuwait DGCA Regulations or any of the other complementary instructions and notices does not require legal enforcement action, an appropriate official of Kuwait DGCA (ASD/CASeD) may take administrative action in disposition of the case. That action may consist of issuing the alleged violator-

- (a) A "Warning Notice" which recites available facts and information about the incident or condition and indicates that it may have been a violation, or
- (b) A "Letter of Correction" which confirms Kuwait DGCA decision in that matter and states the necessary corrective action the alleged violator has taken or agrees to take. The above actions may be given to-

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#### KCASR 26 – Enforcement and Sanctions

## ➤ Violations Committed by Personnel Holding A Licence/Certificate Issued by Kuwait DGCA

Minimum C.P. = K.D. 1000 Moderate C.P. = K.D. 3000 Maximum C.P. = K.D. 5000

### ➤ Flight Deck/Cabin Crew Members (Attestation) (Table 06)

	7 Fight Deck Cabin Crew Members (Attestation) (Table 00)			
	Type of Violation	Sanction Per Violation		
1	Operating an aircraft in a negligent, careless or reckless manner	Revoke licence		
2	Acting as flight/cabin crewmember while under the influence of alcohol or psychoactive substances	Revoke licence/certificate and Max C.P.		
3	Consumption of alcohol within the previous twelve hours prior to duty	Revoke licence/certificate and Mod C.P.		
4	Conviction by a Court of Law of the illegal carriage of restricted/controlled substance in an aircraft while operating	Revoke licence/certificate		
5	Refusal to carry-out medical check when required by Kuwait DGCA-ASD	60-day licence suspension		
6	Carriage of explosives or Hazardous Materials without authorization of the Kuwait DGCA-ASD	60-day licence suspension and Min C.P.		
7	<ul> <li>a) Denial/Obstruction of Authorized Inspectors access to flight deck or facilities</li> </ul>	60-day licence suspension		
	b) Withholding information from Authorized Inspectors			
8	Failures to produce an aviation document, certificate or records upon demand of DGCA/ASD.	30-day licence/certificate suspension		
9	Failure to comply with special condition of medical certificate	30-day licence/certificate suspension		
10	Acting as a Flight Deck or Cabin Crewmember with a known physical deficiency which affects his/her duty.	30-day licence/certificate suspension		
11	Failure to comply with safety and security regulations, i.e. smoking, inflight security procedures	30-day licence suspension		
12	Failure to comply with approved aircraft standard operating procedures (SOP). Normal, non-normal, supplementary, special procedures or requirements	30-day licence suspension		

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13	Failure of Flight Deck Crew or Cabin Crewmember to comply with Pilot-In-Command instructions	30-day licence suspension
14	Operating without valid aircraft documentation	30-day licence/certificate suspension
15	Operating an un-airworthy aircraft	30-day licence suspension
16	Failure to check and acquire aircraft technical logs or flight documentation	30-day licence suspension
17	Failure to obtain an Air Traffic Control Clearance	30-day licence suspension
18	Failure to comply with an Air Traffic Control Clearance received and acknowledged	30-day licence suspension
19	Failure to advise Air Traffic Control of deviation from clearance	30-day licence suspension
20	Violation of Flight Duty Time Limitation Regulations	30-day licence suspension
21	Acting as a Flight Deck or Cabin Crewmember without a valid Kuwait DGCA licence/certificate or licence/certificate not in possession	30-day licence suspension (Deck Crew) 7-day certificate suspension (Cabin Crew)
22	Failure to comply with Regulatory requirements for reporting an accident/incident or Occurrence Report	15-day licence suspension
23	Failure of Cabin Crewmembers to comply with standard safety instructions	15-day certificate suspension
<u>24</u>	False declaration of medical certificate application to aero-medical examiner	30-days licence suspension

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#### KCASR 26 – Enforcement and Sanctions

# ➤ Violations Committed by Personnel Holding an Authorized Examiner / Assessor Authority Issued by Kuwait DGCA (Table 07)

	Type of Violation	Sanction Per Violation
1	False endorsement of licence or certificate	Revoke <u>Authorization</u> <u>Authority</u>
2	Preforming duty in a negligent, carless or reckless manner	Revoke <u>Authorization</u> <u>Certificate</u>
3	Instruction in an aircraft for which he is not type rated	Revoke <u>Authorization</u> <u>Authority</u>
4	Abuse or false representation of delegated authority or has acted in any other way that would discredit the Kuwait DGCA-ASD	Revoke <u>Authorization</u> <u>Authority</u>
5	Places personal interest, or the interest of the company, ahead of the interest of the traveling public	Revoke <u>Authorization</u> <u>Authority</u>
6	Violation of any Rules, Regulations or Safety and Standard Instructions	Revoke Suspend Authorization Authority
7	Exercised poor judgment in assessing candidate's performance in relation to the standard	Revoke <u>Authorization</u> <u>Authority</u>
8	Kuwait DGCA-ASD Inspector determines during the course of a Flight Check, Test, or monitored inspection that the authorized Examiner does not meets Kuwait DGCA-ASD standards	Revoke suspend Authorization Authority
9	Exercising the examiner authority while his/her licence is invalid	Suspend <u>Authorization</u> <del>Authority</del>

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#### KCASR 26 – Enforcement and Sanctions

# Violations Committed by Personnel Holding A License/Certificate Issued by Kuwait DGCA

## ➤ Air Traffic Controllers (Table 08)

All Traffic Controllers (Table 08)			
	Type of Violation	Sanction Per Violation	
1	Performing duty while under the influence of alcohol or psychoactive substances.	Revoke Authority	
2	Preforming duty in a negligent, carless or reckless manner	Revoke Certificate	
3	Consumption of alcohol within the previous twelve hours prior to duty.	Revoke Authority	
4	Falsification of records or reports.	Revoke Authority	
5	a) Denial/Obstruction of Authorized Inspectors access to ATC facilities	60-day licence	
3	b) Withholding information from Authorized Inspectors	suspension	
6	Exceeding the limitations of his/her license.	30-day licence suspension	
7	Failures to produce an aviation document, license or records upon demand of DGCA/ASD.	30-day licence suspension	
8	Failure to comply with special condition of medical certificate.	30-day licence suspension	
9	Acting as Air Traffic Controller with a known physical deficiency which affects his/her duty.	30-day licence suspension	
10	Failure to comply with safety and security regulations.	30-day licence suspension	
11	Failure to comply with approved standard operating procedures (SOP).	30-day licence suspension	
12	Operating without valid Kuwait DGCA license.	30-day licence suspension	
13	Failure to report critical information of Safety or Security nature.	30-day licence suspension	
14	Failure to comply with regulatory requirements for reporting an accident/incident or occurrence.	15-day licence suspension	

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#### KCASR 26 – Enforcement and Sanctions

False declaration of medical certification application to aero medical examiner 30 days licence suspension



#### KCASR 26 – Enforcement and Sanctions

## ➤ Violations Committed by Personnel Holding A License/Certificate Issued by Kuwait DGCA

Minimum C.P. = K.D. 500 Moderate C.P. = K.D. 1500 Maximum C.P. = K.D. 2500

### ➤ Flight Operations Officer and Flight Dispatcher FOO/FD (Table 809)

	Type of Violation	Sanction Per Violation
1	Preforming duty in a negligent, carless or reckless manner	Revoke licence/Certificate
2	Performing duty while under the influence of alcohol or psychoactive substances.	Revoke licence/Certificate and Max C.P.
3	Consumption of alcohol within the previous twelve hours prior to duty.	Revoke licence/Certificate and Mod C.P.
4	Falsification of records or reports.	Revoke licence/Certificate and Max C.P.
5	Withholding information from Authorized Inspectors	60-day licence/certificate suspension
6	Exceeding the limitations of his/her license.	60-day licence/certificate suspension and Min C.P.
7	Failures to produce an aviation document, license or records upon demand of DGCA/ASD Inspectors.	60-day licence/certificate suspension
8	Acting as FOO / FD with a known physical deficiency which affects his/her duty.	30-day licence/certificate suspension
9	Failure to comply with safety regulation	30-day licence/certificate suspension
10	Operating without valid Kuwait DGCA license / certificate	30-day licence/certificate suspension
11	Failure to report critical information of Safety or Security nature.	30-day licence/certificate suspension
12	Failure to comply with regulatory requirements for reporting an accident/incident or occurrence.	15-day licence/certificate suspension

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#### KCASR 26 – Enforcement and Sanctions

## **Violations Concerning Safe Transportation of Dangerous Goods by Air**(Table 910)

## A. Holders of Air Operator Certificate (AOC) Issued by Kuwait DGCA

Type of Violation		Sanction Per Violation
1	For the first time	Warning Letter
2	Second time	Suspension approval to transport Dangerous Goods by air for three (3) months
3	Third time	Suspension of approval to transport Dangerous Goods by air for six (6) months.
4	Fourth time	Suspend/Revoke Approval to transport Dangerous Goods by air.

## **B.** Holders of Air Operator Certificate (AOC) Issued by a Contracting State Other than Kuwait **DGCA** (See Note below)

1	For the first time	Warning Letter
2 Second time		Suspension approval to transport Dangerous Goods by air for
		three (3) months
3 Third time		Suspension of approval to transport Dangerous Goods by air
		for six (6) months.
1	Fourth time	Suspend/Revoke Approval to transport Dangerous Goods by
4		air.

Note: Violations committed by the holder of a foreign Air Operator Certificate will be reported to the State of Registry of the Aircraft and International Civil Aviation Organization.

Type of Violation		tion Sanction Per Violation	
C. (	C. Ground Handling Agencies		
1	For the first time	Warning Letter	
2	Second time	Suspension of approval to transport Dangerous Goods to Kuwait for three (3) months.	
3 Third time Suspension of approval to transport Dangerous Goods to Kuwait for six (6) months.		Suspension of approval to transport Dangerous Goods to Kuwait for six (6) months.	
4	Fourth time	Suspend/Revoke Approval to transport Dangerous Goods to Kuwait.	

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#### KCASR 26 – Enforcement and Sanctions

# ➤ Violations Committed by Organizations Holding an Approval Issued by Kuwait DGCA Concerning the

### ➤ Implementation of Safety Management Systems (SMS) (Table 1011)

Minimum C.P. = 1000 K.D. Moderate C.P. = 3000 K.D. Maximum C.P. = 5000 K.D.

	Type of Violation	Sanction Per Violation
1	Failure to implement KCASR 19 "Safety Management System".	Max C. P.
2	Noncompliance with KCASR 19 "SMS" requirement which lowers the safety standard and seriously affects the flight safety.	Mod C. P.
3	Noncompliance with KCASR 19 "SMS" requirements which <b>could</b> lower the safety standard and <b>possibly</b> negatively affects the flight safety.	Min C. P.

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#### KCASR 26 – Enforcement and Sanctions

## > Violations committed by a Holder of an Aerodrome Certificate Issued by Kuwait DGCA (Table 112)

(This Table describes the Civil Penalties (C.P.) as Minimum, Moderate or Maximum for violations of Kuwait DGCA regulations on Aerodrome, KCASR Part 14)

Minimum C.P. = 1000 KD Moderate C.P. = 3000 KD Maximum C.P. = 5000 KD

	Type of violation	Sanction per Violation
1	Operating in violation of the conditions forming part of the Aerodrome Certificate	Min C.P.
2	Violation of Kuwait DGCA/ASD Aerodrome regulations, KCASR Part 14, Aerodrome Safety and Standard Instructions.	Mod C.P.
3	Failure to implement KCASR requirements regarding "Safety Management System" implementation.	Max C.P.
4	Using unqualified personnel	Mod C.P.
5	Allowing personnel to operate in the aerodrome without holding a valid State of Kuwait aviation document or certificate.	Min C.P.
6	Falsification of records or reports	Max C.P.
7	Denial/Obstruction of Authorized inspectors' access to an aerodrome site, facilities or records	Max C.P.
8	Failure to comply with approved policies, standards, Kuwait DGCA regulation, procedures, requirements, and Aerodrome manual procedures.	Max C.P.
9	Noncompliance with Kuwait DGCA/ASD KCASR 14 regulation that <b>might</b> affect the safety standards and hazards the Apron / Airside safety.	Mod C.P.
10	Noncompliance with Kuwait DGCA/ASD KCASR 14 Regulations that <b>could</b> affect the safety standards and hazards the Apron / Airside safety.	Max C.P.
11	Noncompliance with Kuwait DGCA/ASD KCASR Part 14 requirements that <b>might</b> affect the safety standards, and hazards seriously the safety management system.	Mod C.P.
12	Noncompliance with Kuwait DGCA/ASD KCASR Part 14 requirements which <b>could</b> lower the safety standards, and possibly hazards the safety management system.	Max C.P.
13	Failure to conduct required inspections / Audits	Mod C.P.

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#### KCASR 26 -**Enforcement and Sanctions**

#### Violations committed by an Air Navigation Services (ANS) personnel or holder of an ANS Licence issued by Kuwait DGCA

## ➤ Violations Committed by Air Navigation Services (Table 1213)

**Minimum C.P.= 1000 KD** 

Moderate C.P.= 3000 KD Maximum C.P.= 5000 KD				
	Type of violation	Sanction per Violation		
1	Operating in violation of the conditions forming part of the Kuwait DGCA/ASD regulations related to ANS	Max C.P.		
2	Using unqualified personnel	Max C.P.		
3	Denial/Obstruction of access to premises, facilities, records to Kuwait DGCA/ASD	Max C.P.		
4	Withholding information from Kuwait DGCA/ASD	Max C.P.		
5	Allowing personnel to operate in the ANS services without holding a valid State of Kuwait aviation document or certificate.	Max C.P.		
6	Falsification of records or reports	Max C.P.		
7	Denial/Obstruction of Authorized inspectors' access to an ANS site or facilities.	Max C.P.		
8	Failure to comply with approved policies, standards, Kuwait DGCA regulation, procedures, requirements, ANS manual procedures.	Mod C.P.		
9	Failure to make required inspections	Mod C.P.		
10	Failure to provide proper recurrent training to the ANS personnel	Mod C.P.		
11	Failure to maintain a record of training for each ANS involved personnel	Mod C.P.		
12	Operating without the required number of ANS personnel	Max C.P.		
13	Violation of Kuwait DGCA/ASD ANS Safety and Standard Instructions	Max C.P.		
14	Allowing an ANS personnel to operate when in violation of Flight Duty Time limitation regulations.	Max C.P.		
15	Using an ANS personnel with a known physical deficiency that affects his/her duty.	Max C.P.		
16	Failure to forward an occurrence report to Kuwait DGCA/ASD	Mod C.P.		

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#### KCASR 26 – Enforcement and Sanctions

## > Violations Committed by a Holder of a Ground Handling Certificate (GHO) Issued Kuwait DGCA (Table 1314)

(This Table describes the Civil Penalties (C.P.) as Minimum, Moderate or Maximum for violations of Kuwait DGCA regulations (GHO), KCASR 14-Vol (III)

Minimum C.P.= 1000 KD Moderate C.P.= 3000 KD Maximum C.P.= 5000 KD

	Type of violation	Sanction per Violation
1	Operating in violation of the conditions forming part of the Ground Handling Certificate	Min C.P.
2	Violation of Kuwait DGCA/ASD Ground Handling, KCASR 14 (Vol III), Aerodrome Safety and Standard Instructions or Airports approved (ADM)	Max C.P.
3	Failure to implement KCASR requirements regarding 'Safety Management System' implementation.	Max C.P.
4	Utilizing unqualified personnel	Mod C.P.
5	Allowing personnel to operate in the aerodrome without holding a valid State of Kuwait aviation document or certificate.	Min C.P.
6	Falsification of records or reports	Max C.P.
7	Denial/Obstruction of Authorized inspectors' access to Ground Handling premises, facilities or records.	Max C.P.
8	Noncompliance with Kuwait DGCA/ASD KCASR 14 (Vol III) regulation that <b>might</b> affect the safety standards and hazards the <b>Airside / Apron safety</b> .	Mod C.P.
9	Noncompliance with Kuwait DGCA/ASD KCASR 14 (Vol III) regulations that <b>could</b> affect the safety standards and hazards the <b>Airside / Apron safety.</b>	Max C.P.
10	Noncompliance with Kuwait DGCA/ASD KCASR 14 (Vol III) requirements which <b>could</b> lower the safety standards and hazards the Safety Management System.	Mod C.P.
11	Failure to conduct required inspections / audits	Mod C.P.
12	Failure to provide proper recurrent training to the Ground handling personnel	Min C.P.
13	Failure to maintain a record of training for each Ground handling operations involved personnel	Min C.P.
14	Failure to forward an occurrence report to Kuwait DGCA/ASD	Min C.P.
15	Failure to obtain a Kuwait DGCA/ASD approval for amendments to the Ground Handling Manual	Min C.P.

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#### KCASR 26 – Enforcement and Sanctions

# ➤ Violations Committed by Personnel Holding a License/Certificate Issued by Kuwait DGCA

Minimum C.P.= 500 KD Moderate C.P.= 1500 KD Maximum C.P.= 2500 KD

# ➤ Violations Committed by Authorised Aero-Medical Examiner (Table 1415)

	Type of Violation	Sanction Per Violation
1	Performing duty while under the influence of alcohol or psychoactive substances.	Revoke Certificate and Max C.P.
2	Preforming duty in a negligent, carless or reckless manner	Revoke Certificate
3	Falsification of records or reports.	Revoke Certificate and Max C.P.
4	Denial / obstruction of access to premises, facilities, records, equipment to Kuwait DGCA / ASD Authorised Inspector.	Revoke Certificate
5	Exceeding the Scope of privilege of his/her Certificate	30-day Certificate suspension and Min C.P.
6	Failures to process accurate and properly filled forms, reports and certificates.	Warning notice
7	Exercising poor judgment in assessing candidate's performance in relation to regulatory standards.	Warning Notice and 30-day certificate suspension
8	Violations of rules, regulations or safety standards or instructions	30-day certificate suspension
9	Exercising the Examiner Authority without valid Kuwait DGCA Certificate	Warning Notice and Mod C.P.
10	False endorsement of license or certificate.	30-day certificate suspension
11	Abuse or false representation of delegated authority or has acted in any other way that would discredit Kuwait DGCA / ASD	30-day certificate suspension
12	Failure to comply with regulatory requirements for reporting an accident / incident or occurrence.	15-day licence suspension

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#### KCASR 26 – Enforcement and Sanctions

## <u>Violations Committed by a Holder of a Unmanned Aircraft Systems Operator</u> <u>Certificate (UOC) Issued by Kuwait DGCA (Table 1516)</u>

Minimum C.P. = 1000K.D. Moderate C.P. = 3000 K.D. Maximum C.P. = 5000 K.D.

	Type of Violation	Sanction Per Violation
1	Operating in violation of the conditions forming part of the Approval Certificate or operating beyond the scope of the UOC terms of approval.	Max C.P. /Revoke approval
2	Failure to obtain prior approval from Kuwait DGCA/ASD for changes of the approval.	Max C. P./ 30 days approval suspension
3	Commencement of a flight following an accident or incident involving damage or suspected damage to an UAS without prior permission from Kuwait DGCA/ASD.	Max C. P./ Revoke approval
4	Failure to immediately notify DGCA in case of any accident/incident	Max C. P./ 30 days approval suspension
5	Denial/Obstruction of access to premises, facilities, records, aircraft and aircraft equipment to the Kuwait DGCA-ASD	Max C. P./ Revoke approval
6	Withholding information from Kuwait DGCA-ASD	Max. C. P.
7	Carriage of dangerous goods without prior written permission from Kuwait DGCA	Max C. P./ Revoke approval
8	Allowing personnel to operate without holding a valid UAS pilot licence	Max C. P./ 30 days approval suspension
9	Failure to provide adequate shelter to workshop and facilities	Mod C. P.
10	conducting flights in dangerous, restricted or prohibited areas unless permitted by the appropriate ATS unit(s) and Kuwait DGCA/ASD.	Mod C.P./ 30 days approval suspension
12	Dropping of objects or equipping aircraft with camera or any other image capturing or filming devices without prior written permission from Kuwait DGCA/ASD.	Mod C. P.
	Failure to land the UAS to give way to any aircraft that might be in the vicinity.	Mod C.P./ 30 days approval suspension
13	Failure to establish and maintain a safety and communication training program for all crew members	Mod C. P.

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#### KCASR 26 – Enforcement and Sanctions

# ➤ <u>Violations Committed by a Holder of a Flying Club Approval (Light Sport Aircraft) Issued by Kuwait DGCA (Table 1617)</u>

Minimum C.P. = 1000 K.D. Moderate C.P.= K.D. 3000 Maximum C.P. = K.D. 5000

	Type of Violation	Sanction Per Violation
1	Operating in violation of the conditions forming part of the Approval Certificate	Max C.P. /Revoke approval
2	Failure to obtain prior approval from Kuwait DGCA/ASD for appointment of key post holders cited in the KCASR's	Max C. P./ 30 days approval suspension
3	Commencement of a flight following an accident or incident involving damage or suspected damage to an aircraft without approval	Max C. P./ Revoke approval
4	Failure to immediately notify DGCA/ASD in case of any accident/incident	Max C. P./ 30 days approval suspension
5	Denial/Obstruction of access to premises, facilities, records, aircraft and aircraft equipment to the Kuwait DGCA-ASD	Max C. P.
6	Withholding information from Kuwait DGCA-ASD	Max. C. P.
7	Carriage of explosives or hazardous materials	Max C. P./ Revoke approval
8	Allowing a pilot to operate without holding a valid licence and valid medical certificate.	Max C. P./ 30 days approval suspension
9	Failure to provide adequate shelter to workshop and facilities	Mod C. P.
10	conducting flights in dangerous, restricted or prohibited areas unless permitted by the appropriate ATS unit(s) and Kuwait DGCA/ASD	Mod C.P./ 30 days approval suspension
11	conducting parachute jumps in dangerous, restricted or prohibited areas unless permitted by the appropriate ATS unit(s) and Kuwait DGCA/ASD	Mod C.P./ 30 days approval suspension
12	Dropping of objects or equipping aircraft with camera or any other image capturing or filming devices without prior written permission of Kuwait DGCA/ASD	Mod C. P.
13	Failure to establish and maintain an approved training program.	Mod C. P.

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#### KCASR 26 – Enforcement and Sanctions

# **Violations Committed by Organizations Holding an Approval Issued by Kuwait DGCA Concerning the**

### ➤ Non-conformity Corrective action plane (CAP) Adherence (Table 18)

Minimum C.P. = 1000 K.D. Moderate C.P. = 3000 K.D. Maximum C.P. = 5000 K.D.

	Type of Violation	Sanction Per Violation
1	<u>Failure to submit the Corrective-Action Plan (CAP) by the specified deadline – first instance</u>	Min C. P.
2	Failure to submit the CAP on time – second or subsequent instance	Mod C. P.
<u>3</u>	Failure to close findings within the agreed timeframe	Mod C. P.
4	Falsification of evidence related to a non-conformity	Max CP

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#### KCASR 26 – Enforcement and Sanctions

## > AVSEC Violation committed by Stakeholders, entities and service providers approved by DGCA in State of Kuwait (Table 1719)

(This table describes the Civil Penalties (C.P.) as Minimum, Moderate or Maximum for violations of Kuwait DGCA regulations on Aviation Security, KCASR -17.

Minimum C.P. = K.D. 1000 Moderate C.P. = K.D. 3000 Maximum C.P.= K.D. 5000

Type of Violation		Sanction Per Violation
1	Failure to comply with KCASR-17 "Aviation Security" requirements, National Civil Aviation Security Program, (NCASP), National Civil Aviation Security Quality Control Program (NCASQCP), National Civil Aviation Security Training Program (NCASTP). KCASR-9, "Facilitation" and National Air Transport Facilitation Program (NATFP).	Max. C.P
2	Commencement of Flight following an Act of Unlawful Interference, without taking appropriate security measures in accordance With NCASP policies and company procedures.	Max. C.P
3	Failure to obtain a Kuwait DGCA/CASeD approval for amendments to AVSEC Programs.	Min C.P
4	Utilizing uncertified AVSEC Personnel or company and Training Centre not approved by DGCA.	Min C.P
5	Entering the Security restricted area at KIA without the appropriate or valid ID permit.	Min C.P
6	Failure to incorporate a KUWAIT DGCA/CASeD approved amendments into AVSEC Programs.	Min C.P
7	Abuse or false representation of delegated certificate /Approval or has acted in any other way that would discredit the Kuwait DGCA/CASeD.	Revoke Certificate/Approval and Mod C.P
8	Denial/obstruction of certified National AVSEC Inspectors/Auditors access to Facilities.	Max. C.P
9	Withholding information or records/reports National AVSEC Inspectors/Auditors.	Max. C.P
10	Falsification of records or report.	Revoke Certificate/Approval and Max. C.P

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